

FORM PTO-1390
(REV. 12-2004)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

**TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371**

UNI-05-1016

U.S. APPLICATION NO. (if known, see 37 C.F.R. 1.52)

10/524653

INTERNATIONAL APPLICATION NO.

PCT/CN2002/00579

INTERNATIONAL FILING DATE

21 August 2002 (21.08.02)

PRIORITY DATE CLAIMED

TITLE OF INVENTION

INCLUSION COMPLEXES OF BUTYLPHthalide WITH CYCLODEXTRIN OR ITS DERIVATIVES, A PROCESS FOR THEIR PREPARATION AND THE USE THEREOF

APPLICANT(S) FOR DO/EO/US

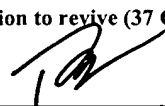
Zhan-qi Niu, Kai Zhao, Wen-juan Liu, Gui-rong Zhou, Chao Liu, Rong-duan Wang, Hong-zhong Yuan, Wen-min Guo, Sui-chao Yan and Min Bai

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☐ The US has been elected (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
 - b. ☒ has been communicated by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☒ is attached hereto.
 - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11 to 20 below concern document(s) or information included:

11. ☒ An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. 3.28 and 3.31 is included.
13. ☒ A preliminary amendment.
14. ☒ An Application Data Sheet under 37 C.F.R. 1.76.
15. ☐ A substitute specification.
16. ☐ A power of attorney and/or change of address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 C.F.R. 1.821 - 1.825.
18. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. ☐ Other items or information:

| | | | | | |
|---|--------------|---|------------|--|----|
| U.S. APPLICATION NO. (if known, see 37 CFR 1.53) 10/524653 | | INTERNATIONAL APPLICATION NO. PCT/CN2002/00579 | | ATTORNEY'S DOCKET NUMBER UNI-05-1016 | |
| 21. The following fees are submitted: | | | | | |
| <input checked="" type="checkbox"/> a) Basic national fee..... | | | | \$ 300.00 | |
| <input checked="" type="checkbox"/> b) Examination fee..... | | | | \$ 200.00 | |
| <input checked="" type="checkbox"/> c) Search fee..... | | | | \$ 500.00 | |
| TOTAL OF ABOVE CALCULATIONS = | | | | \$1,000.00 | |
| <input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250.00 for each additional 50 sheets of paper or fraction thereof. | | | | | |
| Total Sheets | Extra Sheets | Number of each additional 50 or fraction thereof (round up to a whole number) | Rate | | |
| - 100 = | /50 = | | x \$250.00 | \$ | |
| Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)). | | | | \$ | |
| CLAIMS | NUMBER FILED | NUMBER EXTRA | RATE | | |
| Total Claims | 19 - 20 = | 0 | x \$50.00 | \$ | |
| Independent claims | 4 - 3 = | 1 | x \$200.00 | \$ 200.00 | |
| MULTIPLE DEPENDENT CLAIM(S) (if applicable) | | | + \$360.00 | \$ 360.00 | |
| TOTAL OF ABOVE CALCULATIONS = | | | | \$1,560.00 | |
| <input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2. | | | | \$ 780.00 | |
| SUBTOTAL = | | | | \$ 780.00 | |
| Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)). | | | | \$ | |
| TOTAL NATIONAL FEE = | | | | \$ 780.00 | |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property | | | | \$ | |
| TOTAL FEES ENCLOSED = | | | | \$ 780.00 | |
| | | | | Amount to be refunded: | \$ |
| | | | | Amount to be charged: | \$ |
| a. <input checked="" type="checkbox"/> A check in the amount of \$780.00 to cover the above fees is enclosed. b. <input type="checkbox"/> Please charge my Deposit Account No. 50-2719 in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-2719. A duplicate copy of this sheet is enclosed. d. <input type="checkbox"/> Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. | | | | | |
| NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status. | | | | | |
| SEND ALL CORRESPONDENCE TO: | | | | | |
| Customer No. 035811, whose contact information is: IP Group of DLA Piper Rudnick Gray Cary US LLP One Liberty Place, Suite 4900 1650 Market Street Philadelphia, PA 19103 (215) 656-3381 | | | |  T. Daniel Christenbury, Reg. No. 31,750 | |

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

DT05 Rec'd PCT/PTO 10/524653 FEB 2005

Art Unit:
Examiner :
Serial No. :
Filed : Herewith
PCT No. : PCT/CN2002/00579
PCT Filed : August 21, 2002
Inventors : Zhan-qi Niu
: Kai Zhao
: Wen-juan Liu
: Gui-rong Zhou
: Chao Liu
: Rong-duan Wang
: Hong-zhong Yuan
: Wen-min Guo
: Sui-chao Yan
: Min Bai

Customer No.: 035811

Docket No.: UNI-05-1016

Confirmation No.:

Title : INCLUSION COMPLEXES OF BUTYLPHTHALIDE
: WITH CYCLODEXTRIN OR ITS DERIVATIVES,
: A PROCESS FOR THEIR PREPARATION
: AND THE USE THEREOF

Dated: February 17, 2005

MAIL STOP PCT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

**37 CFR 1.10
EXPRESS MAIL CERTIFICATION**

"Express Mail" mailing label number: EV 525095609 US

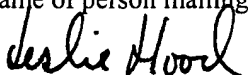
Date of Deposit: February 17, 2005

DESCRIPTION OF CONTENTS: Postcard, \$780.00 Check, an Application Transmittal Letter, in duplicate, Form PTO-1390, in duplicate, an English translation of PCT Application as filed, including 13 pages of Specification, 3 pages of Claims and 1 page Abstract, an Application Data Sheet (2 pages), a Preliminary Amendment, copies of the Request (7 pages), Forms PCT/IB/301, 306 and 308, Forms PCT/IPEA/409 and 416, Forms PCT/RO/105 and 125, Forms PCT/ISA/202 and 220, WO 2004/018444 A1 and an International Search Report with an English translation, an Information Disclosure Statement with Form PTO-1449 and copies of publications, and copy of an executed Combined Declaration, Power of Attorney and Petition.

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to **Mail Stop PCT**, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Leslie Hood

(Typed or printed name of person mailing paper or fee)



(Signature of person mailing paper or fee)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

DTOS Rec'd PCT/PTO 17 FEB 2005

Customer No.: 035811

Art Unit:
 Examiner :
 Serial No. :
 Filed : Herewith
 PCT No. : PCT/CN2002/00579
 PCT Filed : August 21, 2002
 Inventors : Zhan-qi Niu
 : Kai Zhao
 : Wen-juan Liu
 : Gui-rong Zhou
 : Chao Liu
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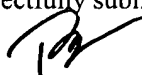
APPLICATION TRANSMITTAL LETTER**MAIL STOP PCT**

Commissioner for Patents
 P.O. Box 1450
 Alexandria, VA 22313-1450

Sir:

We submit herewith for filing in the U.S. Patent and Trademark Office an English translation of the above-identified PCT Application as filed, including 13 pages of specification, 3 pages of claims and 1 page abstract, an Application Data Sheet (2 pages), a Preliminary Amendment, copies of the Request (7 pages), Forms PCT/IB/301, 306 and 308, Forms PCT/IPEA/409 and 416, Forms PCT/RO/105 and 125, Forms PCT/ISA/202 and 220, WO 2004/018444 A1 and an International Search Report with an English translation, an Information Disclosure Statement with Form PTO-1449 and copies of publications, copy of an executed Combined Declaration, Power of Attorney and Petition, Form PTO-1390, in duplicate, and our check in the amount of \$780.00, comprising the official filing fee.

Respectfully submitted,



T. Daniel Christenbury
 Reg. No. 31,750
 Attorney for Applicants

TDC:lh
 (215) 656-3381